

AO 440 (Rev. 10/93) Summons in a Civil Action

## RETURN OF SERVICE

SERVICE OF: **SUMMONS IN A CIVIL CASE, COMPLAINT**  
 EFFECTED (1) BY ME: **MARK SACRIPANTI**  
 TITLE: **PROCESS SERVER**

DATE: 04/04/2011 06:14PM

CHECK ONE BOX BELOW TO INDICATE APPROPRIATE METHOD OF SERVICE:

☒ Served personally upon the defendant:

UBS FINANCIAL SERVICES, INC.

Place where served:

1000 HARBOR BLVD COMPLIANCE DEPARTMENT 8TH FLOOR WEEHAWKEN NJ 07087

[ ] Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:

MIRIAM SANTIAGO

Relationship to defendant: MANAGING AGENT

Description of person accepting service:

SEX: F AGE: 36-50 HEIGHT: 5'4"-5'8" WEIGHT: 131-160 LBS. SKIN: WHITE HAIR: BLACK OTHER: \_\_\_\_\_

[X] To the best of my knowledge, said person was not engaged in the U.S. Military at the time of service

## STATEMENT OF SERVER

TRAVEL \$ \_\_\_\_\_

SERVICES \$ \_\_\_\_\_

TOTAL \$ \_\_\_\_\_

## DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in this Return of Service and Statement of Server is true and correct.

DATE: 04/06/2011

SIGNATURE OF MARK SACRIPANTI

04/06/11  
 JACKELINE GONZALEZ  
 NOTARY PUBLIC OF NEW JERSEY  
 My Commission Expires Dec. 15, 2015

ATTORNEY: ADAM J. GANA  
 PLAINTIFF: RANVIR YADAV, VEENA YADAV, ET ALS  
 DEFENDANT: RAJEEV A/K/A ROGER PUNJ, RICHARD E. KAPLAN  
 VENUE: DISTRICT  
 DOCKET: 11 CV 1500

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

EA

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
RANVIR YADAV, VEENA YADAV,  
PRIYANKA YADAV, SIDHARTH YADAV,  
SURENDER AHLUWALIA AND DEEPAK  
SETH

Plaintiffs,

CIVIL ACTION NO. 11 CV 1500

AFFIDAVIT OF SERVICE

v.

RAJEEV A/K/A "ROGER" PUNJ, RICHARD E.  
KAPLAN, ROSETHENTHAL COLLINS GROUP  
LLC., UBS FINANCIAL SERVICES INC., ADLER  
747, INC., SMW TRADING COMPANY INC., FC  
STONE LLC., CAPITAL ONE SERVICES LLC.

Defendants.

-----X  
Mark Sacripanti, being duly sworn, deposes and says:

1. That I am over eighteen years of age and am not a party to this action.
2. That on the 4 day of April, 2011, at 6:14 P.M. I served a copy of Summons with Notice annexed hereto, upon UBS Financial Services Inc., the defendant(s), by personal service pursuant to CPLR 308, 310, 310-a, 311 and/or 311-a.
3. That such personal service was made by delivering a copy of the Summons and Complaint to UBS Financial Services Inc., the defendant, at 1000 Harbor Blvd., 8<sup>th</sup> FL Compliance Department, Weehawken, NJ 07086. Miriam Santiago
4. The person served was, sex F, color of skin White, hair color Black, approximate age 36-50, approximate weight 131-160, approximate height 5'4 5'8, other \_\_\_\_\_.

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Print Name of Deponent]